

October 1, 2011 - March 15, 2012

Ac <u>co</u> unt	Acct Legal Title	Secr Des	Trd Dte	Stl Dte	Trn Typ Co	Unt Qty	Unt Prc Amt	Usd Trn Amt	Cir Brkr Id	Clearing Brkr Name	Location Acc	t Loc Acct Name	
9162	BJB - NRA OMNIBUS ACCOUNT	AMERICA WEST RESOURCES INC	3/14/2012	3/19/2012	RVP	40000	0.24	9610.72	00000161	MERRILL LYNCH, PIERCE, FENNER	248	1 DEPOSITORY TRUST CORPORATIO	N
5520	COMMERZBANK AG FRANKFURT CLIENTS ACCOUNT	AMERICA WEST RESOURCES INC	2/24/2012	2/29/2012	PUR	800	0.77	616	00000010	BROWN BROTHERS HARRIMAN AND	245	7 BROWN BROTHERS HARRIMAN &	CO
5520	COMMERZBANK AG FRANKFURT CLIENTS ACCOUNT	AMERICA WEST RESOURCES INC	2/24/2012	2/29/2012	DEP	800	0.77	0	00000000	0	248	1 DEPOSITORY TRUST CORPORATIO	N
5520	COMMERZBANK AG FRANKFURT CLIENTS ACCOUNT	AMERICA WEST RESOURCES INC	2/24/2012	2/29/2012	DEP	-1000	0.92	0	00000000	0	245	7 BROWN BROTHERS HARRIMAN &	co
5520	COMMERZBANK AG FRANKFURT CLIENTS ACCOUNT	AMERICA WEST RESOURCES INC	2/24/2012	2/29/2012	PUR	1000	0.92	929	00000010	BROWN BROTHERS HARRIMAN AND	245	7 BROWN BROTHERS HARRIMAN &	co
5520	COMMERZBANK AG FRANKFURT CLIENTS ACCOUNT	AMERICA WEST RESOURCES INC	2/24/2012	2/29/2012	DEP	1000	0.92	0	00000000	0	248	1 DEPOSITORY TRUST CORPORATIO	N
5520	COMMERZBANK AG FRANKFURT CLIENTS ACCOUNT	AMERICA WEST RESOURCES INC	2/24/2012	2/29/2012	DEP	-800	0.77	0	00000000	0	245	7 BROWN BROTHERS HARRIMAN &	co
4246	DNB NOR BANK ASA	AMERICA WEST RESOURCES INC	1/4/2012	1/9/2012	DEL	ማ	0	-4	00000908	CITIBANK NA NEW YORK NY	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	10/4/2011	10/7/2011	RVP	1	0	15.35	00000295	KNIGHT CLEARING SERVICES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	10/14/2011	10/14/2011	REC	966667	0	0	00000750	STERNE AGEE & LEACH INC *	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	12/21/2011	12/27/2011	DVP	-1	0	0.26	00000295	KNIGHT CLEARING SERVICES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	1/19/2012	1/20/2012	REC	500000	0	0	00000750	STERNE AGEE & LEACH INC *	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.35	134987.56	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-134881	0.29	39036.78	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.38	138440.5	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.36	136694.53	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-66667	1.25	83166.24	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.73	173249.87	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.53	152971.24	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.35	134897.56	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.38	137721.51	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.57	156763.17	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.55	154767.2	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.53	152831.24	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.6	159787.11	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.54	154039.22	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.39	138729.49	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/23/2012	2/28/2012	DVP	-100000	1.65	164757.02	00000158	APEX CLEARING CORPORATION	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/28/2012	3/2/2012	DVP	-1300	0.76	988.23	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/29/2012	3/5/2012	DVP	-660	0.7	461.94	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/27/2012	3/1/2012	RVP	1300	0.64	842.72	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	2/28/2012	3/2/2012	RVP	660	0.85	567.76	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	3/5/2012	3/8/2012	DVP	-1000	0.69	690.7	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	3/5/2012	3/8/2012	RVP	2130	0.71	1532.93	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	3/6/2012	3/9/2012	DVP	-1130	0.68	768.3	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
5317	SIX SIS AG MAIN ACCOUNT	AMERICA WEST RESOURCES INC	3/9/2012	3/14/2012	RVP	20000	0.28	5696.78	00000642	UBS SECURITIES LLC	248	1 DEPOSITORY TRUST CORPORATIO	N
0412	MMG BANK CORPORATION-QI	AMERICA WEST RESOURCES INC	3/9/2012	3/14/2012	RVP	18518	0.27	5138.75	00000067	INSTINET CLEARING SERVICES, INC	248	1 DEPOSITORY TRUST CORPORATIO	N